

# LIST OF AFFILIATED PERSONS

**Unipro**

**Public Joint-Stock Company**

(full business name of the joint stock company)

**Issuer code:**

**6 5 1 0 4 – D**

**as of**

**3 1 0 3 2 0 1 8**

(specify the date on which the list of  
affiliated persons of the joint stock company has been drawn up)

Issuer address: Building 34, 23 Energostroiteley Street, Surgut, Khanty-Mansi Autonomous Area-Yugra, Tyumen Region, Russian Federation

(address of the issuer – joint stock company specified in the Unified State Register of Legal Entities,  
where a body or a representative of the joint stock company is located)

The information contained in this list of affiliated persons shall be subject to disclosure in accordance with the laws on securities of the  
Russian Federation

Website: <http://www.unipro.energy/shareholders/disclosure/affiliates/>

<http://www.e-disclosure.ru/portal/company.aspx?id=7878>

(website used by the Issuer to disclose the information)

General Director

L. S.

signature

M. G. Shirokov

full name

Date 02 April 2018

Issuer codes	
Taxpayer Identification Number (INN)	8602067092
Primary State Registration Number (OGRN)	1058602056985

**I. Composition of affiliated persons as of**

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0	3
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2	0	1	8
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No.	Full business name (name of non-commercial organisation) and name, patronymic (if any), surname of the affiliated person	Location of legal entity or place of residence of natural person (to be specified only with consent of a natural person)	Reason (reasons) by virtue of which a person is considered to be affiliated	Reason (reasons) occurrence date	Participatory interest of the affiliated person in the authorised capital of joint stock company, %	Ordinary shares of joint stock company owned by the affiliated person, %
1	2	3	4	5	6	7
1	Anna Grigoryevna Belova	-	The person is a member of the Board of Directors of the joint stock company	07 December 2017	None	None
2	Patrick Wolff	-	The person is a member of the Board of Directors of the joint stock company	07 December 2017	None	None
			The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006	09 February 2018		
3	Oleg Vyacheslavovich Vyugin	-	The person is a member of the Board of Directors of the joint stock company	07 December 2017	None	None
4	Alexey Andreyevich Germanovich	-	The person is a member of the Board of Directors of the joint stock company	07 December 2017	None	None

5	<i>Christopher Jost Delbrück</i>	-	<i>The person is a member of the Board of Directors of the joint stock company</i>	<i>07 December 2017</i>	<i>None</i>	<i>None</i>
6	<i>Gunter Eckhardt Rümmler</i>	-	<i>The person is a member of the Board of Directors of the joint stock company</i>	<i>07 December 2017</i>	<i>None</i>	<i>None</i>
7	<i>Reiner Hartmann</i>	-	<i>The person is a member of the Board of Directors of the joint stock company</i>	<i>07 December 2017</i>	<i>None</i>	<i>None</i>
8	<i>Klaus Schäfer</i>	-	<i>The person is a member of the Board of Directors of the joint stock company</i>	<i>07 December 2017</i>	<i>None</i>	<i>None</i>
9	<i>Maxim Gennadyevich Shirokov</i>	-	<i>1. The person exercises the powers of the sole executive body of the joint stock company.</i>	<i>17 July 2012</i>	<i>None</i>	<i>None</i>
			<i>2. The person is a member of the collective executive body of the joint stock company.</i>	<i>17 July 2012</i>		
			<i>3. The person is a member of the same group of persons as the Company: exercises the powers of the sole executive body of the joint stock company.</i>	<i>17 July 2012</i>		
			<i>4. The person is a member of the Board of Directors of the joint stock company.</i>	<i>07 December 2017</i>		
10	<i>Ulf Backmeyer</i>	-	<i>The person is a member of the collective executive body of the joint stock company.</i>	<i>28 June 2012</i>	<i>None</i>	<i>None</i>
11	<i>Igor Victorovich Popov</i>	-	<i>The person is a member of the collective executive body of the joint stock company.</i>	<i>30 August 2012</i>	<i>None</i>	<i>None</i>
12	<i>Uniper Russia Holding GmbH</i>	<i>E.ON-Platz 1, 40479 Düsseldorf, Germany</i>	<i>1. The person may dispose of more than 20 percent of the total number of votes attributed to the shares that form the authorised (share) capital of the joint stock company;</i>	<i>10 October 2012</i>	<i>83.73</i>	<i>83.73</i>
			<i>2. The person is a member of the same group of persons as the Company: - a legal entity has more than 50% of the total number of votes attributed to the voting shares in the authorised capital of the joint stock company</i>	<i>10 October 2012</i>		
13	<i>Uniper Trend s.r.o. (CZ)</i>	<i>F.A. Gerstnera 2151/6, 370 49 Ceske Budejovice, Czech Republic</i>	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July</i>	<i>26 September 2014</i>	<i>None</i>	<i>None</i>

			2006			
14	<i>E.ON Connecting Energies Limited Liability Company</i>	<i>10 Presnenskaya Embankment, Moscow 123112</i>	<i>The Company may dispose of more than 20 percent of the total number of votes attributed to the shares (contributions, interests) that form the authorised (share) capital of this person.</i>	<i>16 November 2012</i>	<i>None</i>	<i>None</i>
15	<i>Unipro Engineering Limited Liability Company</i>	<i>10, Presnenskaya Embankment, Moscow, 123112, Russian Federation</i>	<i>1. The Company may dispose of more than 20 percent of the total number of votes attributed to the shares (contributions, interests) that form the authorised (share) capital of this person.</i>	<i>06 October 2015</i>	<i>None</i>	<i>None</i>
			<i>2. The person is a member of the same group of persons as the Company: The Company has more than 50% of the total number of votes attributed to the voting shares (interests) in the authorised capital of the legal entity.</i>	<i>06 October 2015</i>		
16	<i>Yuri Iosifovich Kats</i>	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>06 October 2015</i>	<i>None</i>	<i>None</i>
17	<i>Dmitry Vladimirovich Grigoryev</i>	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>02 February 2016</i>	<i>None</i>	<i>None</i>
18	<i>Siberia Agroindustrial Park Limited Liability Company</i>	<i>Kholmogorskoye, Sharypovo District, Krasnoyarsk Territory, Russian Federation</i>	<i>1. The Company may dispose of more than 20 percent of the total number of votes attributed to the shares (contributions, interests) that form the authorised (share) capital of this person.</i>	<i>05 May 2016</i>	<i>None</i>	<i>None</i>
			<i>2. The person is a member of the same group of persons as the Company: The Company has more than 50% of the total number of votes attributed to the voting shares (interests) in the authorised capital of the legal entity.</i>	<i>05 May 2016</i>		
19	<i>Alexander Yurievich Tokarev</i>	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>05 May 2016</i>	<i>None</i>	<i>None</i>

20	<i>Uniper SE</i>	<i>E.ON-Platz 1, 40479, Dusseldorf, Germany</i>	<i>The person is a member of the same group of persons as the Company*: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>28 June 2016</i>	<i>None</i>	<i>None</i>
21	<i>Uniper Holding GmbH</i>	<i>E.ON-Platz 1, 40479, Dusseldorf, Germany</i>	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>21 September 2016</i>	<i>None</i>	<i>None</i>
22	<i>Uniper Beteiligungs GmbH</i>	<i>E.ON-Platz 1, 40479, Dusseldorf, Germany</i>	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>21 September 2016</i>	<i>None</i>	<i>None</i>
23	<i>Noginsk Heat Center Limited Liability Company</i>	<i>24/2 Sretenka Street, bldg. 1, Moscow, 107045</i>	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>27 December 2017</i>	<i>None</i>	<i>None</i>
24	<i>Uniper Exploration &amp; Production GmbH</i>	<i>E.ON-Platz 1, 40479, Dusseldorf, Germany</i>	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>27 December 2017</i>	<i>None</i>	<i>None</i>
25	<i>Uniper NefteGaz Limited Liability Company</i>	<i>10, Presnenskaya Embankment, Moscow, 123112</i>	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>27 December 2017</i>	<i>None</i>	<i>None</i>
26	<i>Igor Mikhailovich Nikitin</i>	<i>-</i>	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>27 December 2017</i>	<i>None</i>	<i>None</i>

27	<i>Bergmair Stefan</i>	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>27 December 2017</i>	<i>None</i>	<i>None</i>
28	<i>Marc Müller</i>	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>09 February 2018</i>	<i>None</i>	<i>None</i>
29	<i>Jörn Becker</i>	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>09 February 2018</i>	<i>None</i>	<i>None</i>
30	<i>Frank Holschumacher</i>	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>09 February 2018</i>	<i>None</i>	<i>None</i>
31	<i>Thomas Linßen</i>	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	<i>09 February 2018</i>	<i>None</i>	<i>None</i>

A consent of natural persons for the specification of the place of residence has not been received.

\*information on the persons being members of the same group of persons as the Company is provided at:

[https://ir.uniper.energy/download/companies/uniperag/Annual%20Reports/201704041101in150GB16\\_us.pdf](https://ir.uniper.energy/download/companies/uniperag/Annual%20Reports/201704041101in150GB16_us.pdf) (Pages 202–205)

## II. Changes that occurred in the list of affiliated persons for the period

from 

0	1
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0	1
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2	0	1	8
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 to 

3	1
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0	3
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2	0	1	8
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No.	Content of the change	Change occurrence date	Date of entering the change in the list of affiliated persons
1	<i>The reason for affiliation, under which the person is included in the list, was added</i>	09 February 2018	09 February 2018

### Content of the information on the affiliated person prior to the change:

2	Patrick Wolff	-	<i>The person is a member of the Board of Directors of the joint stock company</i>	07 December 2017	None	None
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### Content of the information on the affiliated person after the change:

2	Patrick Wolff	-	<i>The person is a member of the Board of Directors of the joint stock company</i>	07 December 2017	None	None
			<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	09 February 2018		

No.	Content of the change	Change occurrence date	Date of entering the change in the list of affiliated persons
2	<i>The person is included in the list due to the affiliation reason occurrence</i>	09 February 2018	09 February 2018

### Content of the information on the affiliated person prior to the change:

*There is no information on the affiliated person prior to the change*

### Content of the information on the affiliated person after the change:

28	Marc Müller	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	09 February 2018	None	None
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No.	Content of the change	Change occurrence date	Date of entering the change in the list of affiliated persons
3	<i>The person is included in the list due to the affiliation reason occurrence</i>	09 February 2018	09 February 2018

**Content of the information on the affiliated person prior to the change:**

*There is no information on the affiliated person prior to the change*

**Content of the information on the affiliated person after the change:**

29	Jörn Becker	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	09 February 2018	None	None
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No.	Content of the change	Change occurrence date	Date of entering the change in the list of affiliated persons
4	<i>The person is included in the list due to the affiliation reason occurrence</i>	09 February 2018	09 February 2018

**Content of the information on the affiliated person prior to the change:**

*There is no information on the affiliated person prior to the change*

**Content of the information on the affiliated person after the change:**



30	Frank Holschumacher	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	09 February 2018	None	None
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No.	Content of the change	Change occurrence date	Date of entering the change in the list of affiliated persons
5	<i>The person is included in the list due to the affiliation reason occurrence</i>	09 February 2018	09 February 2018

**Content of the information on the affiliated person prior to the change:**

*There is no information on the affiliated person prior to the change*

**Content of the information on the affiliated person after the change:**

31	Thomas Linßen	-	<i>The person is a member of the same group of persons as the Company: Subclause 8, Clause 1 Article 9 of the Federal Law On Competition Protection No. 135-FZ dated 26 July 2006</i>	09 February 2018	None	None
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